

Data protection information from Profion and EPF to protect your data in the area of company pension schemes

Your personal data is collected and used exclusively within the framework of the provisions of data protection law of the European Union and the Federal Republic of Germany. This data protection declaration is intended to inform you how and why we process your personal data in the area of company pension schemes and what rights you are entitled to on the basis of the General Data Protection Regulation (GDPR).

General information

Personal data is information that relates to a person and on the basis of which a person can be identified indirectly or directly, e.g. Name, date of birth or email address. Information that cannot be linked to your identity is not considered personal data.

The term "processing" includes any process or series of processes carried out with or without the help of automated processes in connection with personal data or groups of personal data, such as the collection, recording, organization, ordering, storage, adaptation or Modification, reading, querying, use, disclosure by transmission, distribution or any other form of provision, comparison or linking, restriction, deletion or destruction.

Purposes and legal basis for the processing?

Your employer grants you an additional company pension through a benefit plan set up through the EPF Euro-BetriebsPensionsFonds e.V. (EPF), a congruently reinsured group support fund of Profion GmbH (Profion). In order for you to be able to participate in the benefit plan, we need your personal data and, if applicable, the names of your surviving dependents for the conclusion, processing and administration of a promised survivor's pension.

Since the processing of your personal data is necessary for the fulfillment of the contract in which you are the insured person, the processing is based on Art. 6 (1) b) GDPR and does not require your consent. The same applies to the processing of operations that are necessary to carry out pre-contractual measures, e.g. for inquiries about insurance products or services.

Your employer may be subject to legal obligations for which the processing of personal data is necessary, e.g. for withholding and paying taxes and statutory duties. In this case, the processing is based on Art. 6 (1) c) GDPR.

If your personal data is processed for a purpose not specified, you will be informed in advance within the framework of the legal provisions.

Which personal data is processed?

- Personnel number
- First name
- Surname
- Gender
- Birth date
- Entry date
- Pensionable salary
- Job name
- if applicable, amount of deferred compensation
- private address, if applicable
- If applicable, your work email address
- Bank details and social security number, if applicable
- if applicable, personal data of your surviving dependents

Who is responsible for processing my personal data and who can I contact about this?

EPF and Profion receives the above mentioned data from your employer. Employers and EPF/Profion are each responsible for the data they process. If you have any questions about the processing of your data, you can contact anyone involved. There is a joint responsibility contract between Profion and your employer in accordance with Art. 26 GDPR.

Broker: The insurance contracts for company pension schemes are managed by the broker and employee benefits consultant Profion. Your data will be passed on to Profion for advice, conclusion, implementation, documentation and support of the contract.

If you have any questions about the processing of your personal data at Profion, please contact the data protection officer there:

Profion GmbH
Heimeranstrasse 37
80339 Munich
Phone: +49 89 388 372 60
Mail: datenschutz@profion.de

Reinsurer: The benefits according to the EPF benefit plan are reinsured with insurance companies. Your data will be transmitted to them as far as this is necessary for the fulfillment of the promise from the performance plan.

If you have any questions about the processing of your personal data by the insurers, please contact their data protection officer:

Allianz Lebensversicherungs-AG
10850 Berlin
Phone: +49 800 410 010 4
Mail: lebensversicherung@allianz.de

and

Dialog Lebensversicherung-AG
Stadtberger Str. 99
86157 Augsburg
Phone: +49 821 3190
Mail: info@dialog-leben.de

Support Fund: The benefit plan is implemented through the EPF Support Fund. Your personal data will be processed by employees who are responsible for managing the performance plan. The EPF employees process your personal data only to the extent necessary to fulfill contractual and legal obligations and/ or tasks. This can be the so-called customer advisor or accounting employee.

If you have any questions about the processing of your personal data at the EPF Support Fund, please contact the administrator of the Support Fund, Profion, and their data protection officer:

EPF Euro-BetriebsPensionsFonds e.V.
Heimeranstrasse 37
80339 Munich
Phone: +49 89 388 372 60
Mail: datenschutz@profion.de

In addition, the EPF Support Fund and Profion can forward parts of your personal data to the following institutions:

External service providers - e.g. tax consultants, IT, postal and printing service providers

Public institutions to comply with legal requirements - e.g. health insurances, social security agencies or tax authorities

How long will my personal data be kept?

Insofar as this is necessary, your personal data will be stored for the duration of your employment and deleted as soon as they are no longer required for the aforementioned purposes. However, due to various legal obligations, there are requirements to store and document personal data beyond the period of employment.

Will personal data be transferred outside of the EU?

The entire processing of your personal data takes place in Germany and/ or the EU. If, in exceptional cases, a transfer to a country outside the EU or the European Economic Area (EEA) is necessary, this will only be done in compliance with the relevant provisions of Art. 44 ff. GDPR.

Your rights

In accordance with legal requirements, you have the following rights against all processors of your data:

The right to information about the identity of the person responsible for the processing, the reasons for the processing of personal data and other relevant information that is necessary to ensure fair and transparent processing of personal data (Art. 13 and 14 GDPR)

The right to access your personal data so that you can enforce your data protection rights (Art. 15 GDPR, Section 34 Federal Data Protection Act (BDSG))

The right to correct inaccurate or incomplete data (Art. 16 GDPR)

The right to erasure ("right to be forgotten") (Art. 17 GDPR, Section 35 BDSG)

The right to restrict the processing and use of your personal data (Art. 18 GDPR)

The right to data portability to another person responsible (Art. 20 GDPR)

The right to lodge a complaint with a supervisory authority (Art. 77 GDPR, Section 19 BDSG).

If one of the reasons mentioned above applies and you would like to request the deletion of the stored personal data, you can contact us at any time. The data protection officer or your supervisor must immediately ensure that the request for deletion is fulfilled immediately.

You can refuse to provide or forward your personal data. Without your consent, your employer will not be able to fulfill the employment commitment made to you which is guaranteed by law.

Information on the use of your data

Insurance, pensions and wealth creation are matters of trust. Therefore, we deem it vitally important to respect your privacy rights. This particularly applies to the way in which your personal data is handled.

The controller for the purpose of data processing is Allianz Lebensversicherungs-AG.

You can contact us :

Allianz Lebensversicherungs-AG
10850 Berlin
Telefon: 0800.4100104
E-Mail: lebensversicherung@allianz.de

The purposes and legal bases of the data processing

It is not possible to conclude and implement the insurance contract without processing your personal data (hereinafter 'data').

If you apply for insurance cover, we will need the data provided by you in order to establish the insurance contract with you. If the insurance contract is established, we will process your data for the purpose of implementing the contractual relationship, such as checking that payments are made on time. If no contract is established, we will store your data for three full calendar years in case you make another application for insurance cover. We need information regarding the claim so that we can verify the occurrence and the extent of the insured event, for example, and to determine if subrogation rights can be exercised, where relevant. We also use the data to analyse and maintain the entire customer relationship, such as for consultation regarding a contractual amendment or for the provision of wide-ranging information. Furthermore, we also require your data in order to satisfy supervisory regulations, to control the business and to produce insurance-specific statistics, such as for the development and calculation of new tariffs and products.

We process your data on the basis of the relevant data protection provisions contained in the EU General Data Protection Regulation (GDPR), which came into force on 25 May 2018, as well as all other applicable laws. In addition, our company has committed itself to the "Code of Conduct for the handling of personal data by the German insurance industry" to meeting the legal requirements for the insurance industry. You can find them on the internet at allianz.de/datenschutz.

Your data is processed for the purpose of pre-contractual measures and in order to perform your contract.

We also process your data in order to satisfy our legitimate interests or those of others. This particularly includes:

- ensuring IT security and IT operations,
- preventing and investigating criminal activities, especially through performing data analyses to tackle abuses,
- conducting market and opinion surveys,
- marketing our own insurance products and other products provided by Allianz Group companies and their partners. In doing so, we consider aspects such as our product portfolio and your personal situation, in order to be able to provide you with suitable product recommendations specifically tailored for you.

We also process your data in order to fulfil other statutory obligations (such as regulatory stipulations, as well as data retention obligations imposed by commercial and tax law regulations, or consultancy obligations).

Categories of recipients of the personal data

Agents:

The independent agent who mediated your contract will be informed of the contents of the contract concluded with you. We will also provide the relevant agent with the data required to manage your insurance contracts; the agent will process this data in order to provide you with advice.

Specialised companies within our corporate group, and external service providers:

Specialist companies within our corporate group are responsible for performing certain data processing tasks on behalf of the companies affiliated with the Group in jointly usable procedures. Data from applicants and insured persons may be processed by these companies in centralised procedures, such as telephone calling, postal services or payment collection.

This application contains a list of our contractors and service agents, with whom we maintain long-standing business relationships, as well as our Group companies that belong to our centralised data processing system; you can also request this information from us.

Other recipients:

We may also transfer your data to other recipients, such as public authorities in order to fulfil our statutory notification obligations.

Length of time the data is stored

In general, we will erase your data once it is no longer needed for the aforementioned purposes. We will store your data for the period during which claims may be made against our company (statutory retention period of three or up to thirty years). We will also store your data when we have a statutory duty to do so. These kinds of record-keeping and data retention obligations may be imposed by the German Commercial Code or the Fiscal Code, for example. The relevant retention periods range up to ten full years in these cases.

Rights as a 'data subject'

You are entitled (among other things) to contact the address above to demand information concerning what data belonging to you is being stored and, under certain conditions, to demand the correction or erasure of your data. You may also have the right to limit the processing of your data, as well as a right to demand that the data you provided be returned in a structured, accessible and machine-readable format.

Right of objection

You may object to the processing of your data for direct marketing purposes. If we process your data in order to protect legitimate interests, you may object to this processing for reasons pertaining to your particular situation.

You can contact our Data Protection Officer at the aforementioned address, by adding the suffix "To the Data Protection Officer".

You also have the right to raise an objection with a data protection supervisory authority.

You are entitled to contact the State Commissioner for the Protection of Data and Freedom of Information for Baden-Württemberg in Stuttgart.

Credit rating agencies

If required, we will only collect data with your express consent.

Data transfer to a third country

If, after 25 May 2018, we transfer data to service providers outside of the European Economic Area (EEA), this transfer will only take place if the EU Commission has confirmed that this third country has an adequate level of data protection or if other adequate data protection guarantees (such as binding internal company rules on data protection, or EU Standard Contractual Clauses) are in place. In this instance, you can view these provisions on Allianz's website or request them from us.

Instructions for the Protection of your Data

With these instructions we are informing you about the processing of your personal data by and the rights you have pursuant to the new data protection law.

Responsible for data processing

Dialog Lebensversicherungs-AG
(a company of the Generali Group)
Stadtberger Str. 99
D - 86157 Augsburg
Telephone: 00 49 (0) 821 319-0
Fax: 00 49 (0) 821 319-1533
E-Mail address: info@dialog-leben.de

You can contact our data protection officer by mail at the above stated address with the addition - Data Protection Officer - or by e-mail at:

datenschutzbeauftragter.de@generali.com

Purposes and legal basis of data processing

We process your personal data compliant with the EU General Data Protection Regulation (GDPR), the new Federal Data Protection Act (FDPA), the relevant data protection regulations of the Insurance Contract Act (German VVG) as well as further relevant laws. Furthermore, our company has obligated itself to the „Code of Conduct for handling personal data by the German insurance industry“ (Code of Conduct Data Protection), which supplement the above stated laws for the insurance industry. You can access these in the Internet at www.dialog-leben.de.

If you request information, e.g. about our company or for products or services of our company, we need the particulars you provide us with on this occasion for processing your enquiry. If you require a consultation, we need your information to pass this on to one of our sales partners. If you make an application for insurance cover, we require the information you provide us in this case for the conclusion of the contract and to assess the risk we will be underwriting. If the insurance contract is concluded, we process these data to execute the contractual relationship, e.g. to issue the policy or for invoicing. If an insurance benefit is applied for, we require more information to be able to check whether an insurance claim has occurred.

The processing of your concern, resp. the conclusion, resp. the execution of the insurance contract is not possible without processing your personal data.

In addition, we need your personal data for the preparation of insurance-specific statistics, e.g. for the development of new rates or to fulfil supervisory requirements. We also use the data for a consideration of the whole customer relationship, for example for advice regarding a contractual adjustment, supplement, for goodwill decisions or for comprehensive exchange of information.

Legal basis for the processing of personal data for pre-contractual and contractual purposes is Art. 6 para. 1 lit. b GDPR. Insofar as special categories of personal data (e.g. your health data when concluding a life insurance policy) are required for this, we will get your approval in accordance with Art. 9 para. 2 lit. a in combination with Art 7 GDPR. If

we prepare statistics with these data categories, this will be done on the basis of Art. 9 para. 2 lit. j GDPR in combination with § 27 FDPA. An issued consent can be revoked at any time. This also applies to the revocation of declarations of consent which may have been issued to us before the validity of the GDPR, that is before 25 May 2018. The revocation has no retroactive effect. It does not affect the legal validity of the data, which were processed on the basis of the previous consent, up to receipt of the revocation. This also applies to the legal validity of an automated case-by-case decision concerning you, enacted up to receipt of the revocation.

We also process your data to safeguard our legitimate interests or those of third parties (Art. 6 para. 1 lit. f GDPR). This may be necessary in particular:

- to guarantee IT security and IT operations,
- for advertising of our own insurance products as well as for market and opinion surveys,
- to prevent and investigate crimes, in particular we use data analyses to identify information which could point to insurance fraud.

In addition, we process your personal data to comply with legal obligations such as e.g. supervisory provisions, retention periods relating to commercial or tax law, or our general duty to provide advice. In this case, the legal basis for the processing are the respective legal regulations in combination with Art. 6 para. 1 lit. c GDPR.

Should we wish to process your personal data for a purpose not stated above, we will inform you about this in advance within the scope of the legal provisions.

Categories of recipients of personal data

Reinsurers:

We insure risks we have underwritten, with special insurance companies (reinsurers). It may be necessary to transmit your contractual and possibly claims data to a reinsurer so that it can form its own opinion about the risk or the insurance claim. In addition, it is possible that the reinsurer, due to its special expertise, supports our company with the risk or benefit check as well as with the assessment of procedures. We transmit your data to the reinsurer only as far as this is necessary to fulfil the insurance contract with you, resp. in the scope required to maintain our legitimate interests. You can request information on the reinsurers used from the Data Protection Officer.

Agents:

If the processing of your request necessitates the involvement of an agent, your agent processes the application, contract and claims data required for the conclusion and execution of the contract. The same applies if you are serviced by an agent with regard to your insurance policies. Our company also transmits these data to the agents looking after you as far as these require the information to look after and advise you with your insurance and financial services matters.

Data processing in the corporate group:

Specialised companies, resp. divisions of our corporate group attend centrally to certain data processing tasks for the related companies within the group. Insofar as an insurance contract exists between you and one or several companies of our group, your data can be processed centrally by a company of the group, for example, for central administration of address data, for telephone customer service, for contract and benefit processing, for collection and disbursement or for joint mail processing. In our service provider list you will find companies which participate in a centralised data processing. You can request the list of service providers from the Data Protection Officer.

External service providers:

To fulfil our contractual and legal obligations we partly use external service providers. You can request a list of the contractors and service providers with whom we have established business relationships, which are not only temporary, from the Data Protection Officer in the current version.

Further recipients:

In addition we may transmit your personal data to further recipients such as, for example, authorities to fulfil legal reporting obligations (e.g. social security agencies, finance authorities or prosecution authorities). For information on transferring your data to the Federal Central Tax Office (BZSt) in accordance with CRS and FATCA, please contact the Data Protection Officer.

Duration of Data Storage

We delete your personal data as soon as they are no longer required for the above stated purposes. It may happen that personal data are stored for the period in which claims against our company can be asserted (legal limitation period of three or up to thirty years). In addition, we store your personal data as far as we are legally obliged to do so. Corresponding burden of proof and retention obligations arise from, among other things, the commercial code, tax code, and the Money Laundering Act. The storage periods may be up to ten years according to these.

Your Data Protection Rights

You can request information about the data stored about your person at the above stated address. Furthermore, you can demand a correction when we have stored incorrect data about your person. You also have the right, under consideration of the purposes of the processing, to demand the completion of your personal data if we have stored incomplete data. Furthermore, you can demand the deletion of your data under certain prerequisites. You may also be entitled to restrict the processing of your data, as well as have the right to surrender of the data you provided, in a structured, conventional and machine-readable format.

Right to object

You have the right to object to the processing of the personal data for purposes of direct advertising.

If we process your data for legitimate interests, you can object to this processing, if reasons result from your special situation, which speak against the data processing.

Right of complaint

You have the right to lodge a complaint with the above stated data protection officer or a data protection supervisory authority. The data protection supervisory authority for us is as follows:

Bayerisches Landesamt für Datenschutzaufsicht (Bavarian State Office for Data Protection Supervision)
Postfach 606
91511 Ansbach

Credit checks

Insofar as it is necessary to safeguard our legitimate interests, we request information from

informa Solutions GmbH
Rheinstraße 99, 76532 Baden-Baden,

and from

Creditreform Augsburg Frühschutz & Wipperling KG,
Beethovenstr. 4, 86150 Augsburg,

to assess your general payment behaviour.

Data exchange with your former insurer

To be able to check and, if required, supplement your information upon occurrence of an insured event, an exchange of personal data in the necessary scope may be conducted with the former insurer stated by you.

Data transmission to a third country

If we transmit personal data to service providers outside of the European Economic Area (EEA), transmission will be conducted only when the EU commission has confirmed that the third country has an appropriate data protection level or other appropriate data protection guarantees (e.g. binding company-internal data protection regulations or EU standard contractual clauses) exist.

Automated case-by-case decisions

We also use automated processes within the scope of decision making. However, exclusively automated decisions in an individual case pursuant to Art. 22 GDPR do not take place when the decision would lead to a result detrimental for you.

Designation of Beneficiary of Survivors' Benefits

Employee: _____

Name of the Sponsor Company: Rivian & VW Group Tech GmbH

Dear Employee,

You are participating in the Benefit Plan of the EPF Euro-BetriebsPensionFonds e.V. (EPF). This Benefit Plan includes, among others, survivors' benefits

Surviving **spouses** and **registered civil partners** according to the Registered Life Partnership Act (LPartG) are automatically entitled to the survivor's benefit. Therefore, it is not necessary to designate them as beneficiaries.

You are not married and do not have a registered civil partner? In this case, your **children** are automatically beneficiaries, if, and as long as the requirements of Section 32 of the German Income Tax Act (EStG) are met. Please note that if your children are older than 25 or have completed their vocational training, they will not receive the full survivor benefit. You can then designate one child as beneficiary for the death benefit.

If you have a **common-law spouse** and would like him/her to receive the survivor benefit instead of your child/children, please provide us with the name and address of your common-law spouse. Please note that you can only designate your common-law spouse if you are not married and the partnership is characterised by permanent cohabitation in a joint household. The cohabitation must exist at the time of your death.

If there are **no survivors** as defined above, meaning no spouse, no registered civil partner, no named common-law spouse and no children according to Section 32 of the German Income Tax Act (EStG), a death benefit of a maximum of 7,669 EUR as total output can be paid to a person designated in writing according to Section 15 of the German Fiscal Code (AO). These include in particular the relatives and in-laws in direct line, fiancés, siblings, children of siblings, spouses or civil partners of siblings and siblings of spouses or civil partners, siblings of parents, foster parents and foster children.

Please fill in the following columns according to your personal circumstances and confirm the information below with your signature. If you name a common-law spouse, we would recommend that you also name a death benefit beneficiary due to the possibility of a simultaneous death (e.g. due to a road traffic accident).

☐ **Common-Law Spouse**

Last Name, First Name

Address
(Street Name, No., Post Code, Town)

Signature of Common-Law Spouse

☐ **Death Benefit Beneficiary**

Last Name, First Name

Address
(Street Name, No., Post Code, Town)

Relationship (e.g. Brother)

Signature of Death Benefit Beneficiary

By signing this form, you confirm on behalf of your common law spouse or the person entitled to the death benefit that he/she consents to the processing of his/her personal data for the purpose of fulfilling the benefit claim.

Place, Date

Employee's Signature